



Substitute for form 1449A-P-PG INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/771,788
	Filing Date	February 4, 2004
	First Named Inventor	Espiau, Frederick
	Group Art Unit	2821
	Examiner Name	David Hung Vu
Sheet 1 of 13		Attorney Docket No: 2447.001US2

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2007/0075652A1, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2203	04/05/2007	Espiau, F. M., et al.	10/04/2006
	US-6,664,842, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2211	12/16/2003	Pobanz, C. W.	12/28/2001
	US-6,980,021, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2212	12/27/2005	Srivastava, N. K., et al.	06/18/2004
	US-7,253,091, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2210	08/07/2007	Brewer, P. D.	09/26/2002

EXAMINER

DATE CONSIDERED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 2 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"A Ceramic Wave-Guide Lamp - From a Concept to Reality", <u>Espiau Exhibit 1208, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 4, 2000), 12 pgs.</u>	
		"An Integrated Lamp - Waveguide Concept", <u>Espiau Exhibit 1032, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 11, 2000), 13 pgs.</u>	
		"Application Serial No. 09/809,718 (Atty Ref PLASLAMP-01 / 2447.001US1), Amendments Under 37 C.F.R. Sec. 1.312 mailed 12-15-2003", 9 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Non-Final Office Action mailed 6-10-04", 6 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 10-21-2004", 4 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 2-23-05", 4 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Reply filed 10-7-2004 to Office Action mailed 6-10-2004", 95 pgs.	
		"Application Serial No. 10/947,800 (Atty. Ref. 2447.003US1) Non Final Office Action mailed 07-12-2007", 16 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 04-04-2007", 22 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 06-14-2006", 25 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Response filed 12-13-2006 to Non Final Office Action mailed 06-14-2006", 13 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Non Final Office Action mailed 08-10-2007", OARN, 34 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Non Final Office Action mailed 08-30-2006", 18 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Notice of Allowance mailed 05-03-2007", 19 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Response filed 01-19-2007 to Non Final Office Action mailed 08-30-2006", 13 pgs	
		"Application Serial No. 11/083,558 (Atty. Ref. 2447.001US7) Preliminary Amendment filed 04-26-2007", 5 pgs	
		"Application Serial No. 11/083,558 (Atty. Ref. 2447.001US7) Preliminary Amendment filed 07-15-2005", 4 pgs	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 3 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Application Serial No. 11/083,559 (Atty. Ref. 2447.001US8) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/084,069 (Atty. Ref. 2447.001US4), Non-Final Office Action mailed 8-9-2007", 35 pgs.	
		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Non Final Office Action mailed 08-09-2007", OARN,34 pgs	
		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/618,673 (Atty. Ref. 2447.002US4) Preliminary Amendment filed 12-29-2006", 8 pgs	
		"Channel Microwave Quote No. 100102 re: Various Items", <u>Espiau Exhibit 1162, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 18, 200),2 pgs.</u>	
		"Complaint for Damages and Injunctive and Other Relief", <u>Guthrie Exhibit 2229, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 23, 2001),38 pgs.</u>	
		"Conference Call", <u>Guthrie Exhibit 2230, Board of Patent Appeals and Interferences (Interference No. 105,393), (Feb. 9, 2006),25 pgs.</u>	
		"Conference Call", <u>Guthrie Exhibit 2225, Board of Patent Appeals and Interferences (Interference No. 105,393), (Mar. 8, 2007),24 pgs.</u>	
		"Coorstek Quotation", <u>Espiau Exhibit 1197, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 2, 2000),3 pgs.</u>	
		"Cross-Examination of Carl W., Pobanz", <u>Espiau Exhibit 1236, Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions,(Aug. 19, 2007),68 pgs.</u>	
		"Cross-Examination of David Pozar", <u>Espiau Exhibit 1063, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 19, 2006),196 pgs.</u>	
		"Cross-Examination of Matt Espiau (Videotaped)", <u>Espiau Exhibit 1239, Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 17, 2007),225 pgs</u>	
		"Declaration of Carl W. Pobanz", <u>Espiau Exhibit 1030, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),7 pgs.</u>	
		"Declaration of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1051, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 22, 2006),3 pgs.</u>	
		"Declaration of David Turner", <u>Espiau Exhibit 1034, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),5 pgs.</u>	
		"Declaration of Ellen Thotus", <u>Espiau Exhibit 1242, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Declaration of Frederick Matthew Espiau", <u>Guthrie Exhibit 2227, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 9, 2000),68 pgs.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/771,788
	Filing Date	February 4, 2004
	First Named Inventor	Espiau, Frederick
	Group Art Unit	2821
	Examiner Name	David Hung Vu
Sheet 4 of 13	Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Declaration of Frederick Matthew Espiau", <u>Espiau Exhibit 1168, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 29, 2007),17 pgs.</u>	
		"Declaration of Penny Ortega", <u>Espiau Exhibit 1244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Declaration of Regis C. Worley, Jr.", <u>Guthrie Exhibit 2234, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 20, 2007),3 pgs.</u>	
		"Deposition (video transcript) of Chandrashekhar Joshi, M.D.", <u>Espiau Exhibit 1234, Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 13, 2007),152 pgs.</u>	
		"Deposition of Anthony Cooper", <u>Guthrie Exhibit 2218, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),27 pgs.</u>	
		"Deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1068, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 7, 2006),139 pgs.</u>	
		"Deposition of Charles Guthrie", <u>Guthrie Exhibit 2222, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 14, 2007),23 pgs.</u>	
		"Deposition of David B. Turner", <u>Espiau Exhibit 1237 Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Services,(Aug. 20, 2007),201 pgs.</u>	
		"Deposition of David Smoler", <u>Guthrie Exhibit 2223, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 15, 2007),19 pgs.</u>	
		"Deposition of Dennis R. Nunes", <u>Guthrie Exhibit 2214, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),24 pgs.</u>	
		"Deposition of Donald M. Wilson", <u>Guthrie Exhibit 2215, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),77 pgs.</u>	
		"Deposition of Floyd Pothoven", <u>Guthrie Exhibit 2219, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),23 pgs.</u>	
		"Deposition of Gregory Prior", <u>Guthrie Exhibit 2216, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 7, 2007),43 pgs.</u>	
		"Deposition of Joseph Bennett", <u>Guthrie Exhibit 2221, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 12, 2007),17 pgs.</u>	
		"Deposition of Madhu S. Gupta, Ph.D.", <u>Guthrie Exhibit 2220, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 10, 2007),38 pgs.</u>	
		"Deposition of Shelby Katz", <u>Guthrie Exhibit 2224, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 16, 2007),15 pgs.</u>	
		"Deposition of Vivek Gandhi", <u>Guthrie Exhibit 2217, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 8, 2007),14 pgs.</u>	
		"Diagram", <u>Guthrie Exhibit 2205, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.</u>	
		"Diagram", <u>Guthrie Exhibit 2200, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 5 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Dielectric Resonator Concept for Plasma Lamps", <u>Espiau Exhibit 1138, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 20, 2000),5 pgs.</u>	
		"DRI - Tenco Team Plasma Lamp Business Model", <u>Espiau Exhibit 1145, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007),1 pg.</u>	
		"DRI Schematic", <u>Espiau Exhibit 1132, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007),2 pgs.</u>	
		"E-mail to D. Turner et al", <u>Espiau Exhibit 1228, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 14, 2002),1 pg.</u>	
		"E-mail to Espiau re: Lamp Project", <u>Espiau Exhibit 1226, Board of Patent Appeals and Interferences(Interference No. 105,393), (Apr. 23, 2002),1 pg.</u>	
		"E-mail to Espiau re: Meeting", <u>Espiau Exhibit 1227, Board of Patent Appeals and Interferences(Interference No. 105,393), (Apr. 26, 2002),1 pg.</u>	
		"E-mail to Nicola A Pisano", <u>Guthrie Exhibit 2232, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 23, 2007),1 pg.</u>	
		"E-mail to Nicola A Pisano", <u>Guthrie Exhibit 2233, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 21, 2007),1 pg.</u>	
		"E-mail to Wayne Catlett et al, re Lamp Schedule", <u>Espiau Exhibit 1224, Board of Patent Appeals and Interferences(Interference No. 105,393), (7/21/00),1 pg.</u>	
		"Errata to the transcript of the August 13, 2007 deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1235, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 28, 2007),5 pgs.</u>	
		"Errata to the transcript of the August 14, 2007 deposition of Charles Guthrie", <u>Guthrie Exhibit 2238, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 30, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 15, 2007 deposition of David Smoler", <u>Guthrie Exhibit 2237, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 17, 2007 Deposition of Frederick "Matt" Espiau", <u>Espiau Exhibit 1240, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 28, 2007),4 pgs.</u>	
		"Errata to the transcript of the August 20, 2007 Deposition of David B. Turner", <u>Espiau Exhibit 1238, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 27, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 6, 2007 deposition of Donald M. Wilson", <u>Guthrie Exhibit 2236, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 9, 2007 Deposition of Anthony Cooper", <u>Guthrie Exhibit 2239, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 30, 2007),3 pgs.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 6 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Errata to the transcript of the August 9, 2007 of Floyd Pothoven", <u>Guthrie Exhibit 2235, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
		"Espiau Motion 7 for Priority", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 94 pgs.</u>	
		"Espiau notice of deposition for cross examination and intent to vido record the deposition of Dennis Nunes", <u>Espiau Exhibit 1223, Board of Patent Appeals and Interferences(Interference No. 105,393), (Aug. 6, 2007), 3 pgs.</u>	
		"Espiau Opposition 7 (to Guthrie Priority Motion 7)", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 121 pgs.</u>	
		"Espiau Third Declaration of David B. Turner", <u>Espiau Exhibit 1233, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 21, 2007), 10 pgs.</u>	
		"Experiment 1: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1026, Board of Patent Appeals and Interferences(Interference No. 105,393), (Winter, 1995), 5 pgs.</u>	
		"Experiment 3: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1025, Board of Patent Appeals and Interferences(Interference No. 105,393), (October 24, 1990), 15 pgs.</u>	
		"Fifth Declaration of David M. Pozar", <u>Espiau Exhibit 1183, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 17 pgs.</u>	
		"Financial Ledger", <u>Espiau Exhibit 1241, Board of Patent Appeals and Interferences (Interference No. 105,393), (1999-2001), 3 pgs.</u>	
		"FWH of Provisional Application Serial No. 60/222,028 for Plasma Lamp", <u>Espiau Exhibit 1012, Board of Patent Appeals and Interferences(Interference No. 105,393), 28 pgs.</u>	
		"Graph With Handwritten Notes", <u>Espiau Exhibit 1155, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 3 pgs.</u>	
		"Guthrie Exhibit List", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 13 pgs.</u>	
		"Guthrie Opposition 7", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 31, 2007), 122 pgs.</u>	
		"Handwitten Provisional Draft for An Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1031, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 13 pgs.</u>	
		"Handwritten Notes re: Discussion with Wayne, Ingmar, David and Chan", <u>Espiau Exhibit 1207, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"http://www.laseraware.com/history.html", <u>Guthrie Exhibit 2206, Board of Patent Appeals and Interferences(Interference No. 105,393), (Apr. 11, 2006), 1 pg.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 7 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"In Re Digital Reflections, Inc. - Chapter 7 Bankruptcy", <u>Espiau Exhibit 1243, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 28, 2002), 57 pgs.</u>	
		"Invoice relating to DRI Plasma Lamp Project", <u>Exhibit 1219, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2000), 3 pgs.</u>	
		"IPLDWC Design I Drawings", <u>Espiau Exhibit 1180, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 14, 2000), 2 pgs.</u>	
		"Luxim Corporation Project Summit", <u>Guthrie Exhibit 2201, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 17, 2007), 20 pgs.</u>	
		"MasterCard Statement - TravelersBank", <u>Espiau Exhibit 1027, Board of Patent Appeals and Interferences (Interference No. 105,393), (April, 2000), 1 pg.</u>	
		"May 21, 2007 Hearing", <u>Guthrie Exhibit 2231, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 33 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1213, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1189, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1153, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 3, 2000), 4 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1137, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 3 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1169, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 12, 2000), 1 pg.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1190, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.</u>	
		"Notebook Pages from Matt Espiau", <u>Espiau Exhibit 1127, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 27, 2000), 13 pgs.</u>	
		"Notebook Pages from Yian Chang", <u>Espiau Exhibit 1126, Board of Patent Appeals and Interferences (Interference No. 105,393), (August, 2000), 57 pgs.</u>	
		"Notes", <u>Espiau Exhibit 1229, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 2 pgs.</u>	
		"Notes from Meeting with Joshi, Turner, Espiau regarding Review of Samples", <u>Espiau Exhibit 1209, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 2 pgs.</u>	
		"Notes re: Alumina Puck and Testing of Puck", <u>Espiau Exhibit 1125, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 143 pgs.</u>	
		"Notes Regarding Lighting of Lamp", <u>Espiau Exhibit 1210, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 12, 2000), 1 pg.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 8 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Patent Disclosure Summary", <u>Espiau Exhibit 1225, Board of Patent Appeals and Interferences(Interference No. 105,393), (4/22/00), 4 pgs.</u>	
		"Photo", <u>Guthrie Exhibit 2207, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Photo", <u>Guthrie Exhibit 2208, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Photo", <u>Guthrie Exhibit 2213, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 20, 2007), 1 pg.</u>	
		"Photograph", <u>Espiau Exhibit 1222, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Photograph", <u>Espiau Exhibit 1221, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Schematic", <u>Espiau Exhibit 1173, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Schematic re: Plasma Lamp Concept", <u>Espiau Exhibit 1150, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Schematics re: Retangular Waveguide", <u>Espiau Exhibit 1166, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 6, 2000), 2 pgs.</u>	
		"Second Declaration of Carl W. Pobanz", <u>Espiau Exhibit 1054, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 20, 2006), 3 pgs.</u>	
		"Second Declaration of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1160, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 29, 2007), 15 pgs.</u>	
		"Second Declaration of David B. Turner", <u>Espiau Exhibit 1158, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 24, 2007), 19 pgs.</u>	
		"Sixth Declaration of David M. Pozar", <u>Espiau Exhibit 1254, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 15 pgs.</u>	
		"Sound Deposition Services, Inc., Guthrie vs. Espiau: Exhibit 1211", <u>Guthrie Exhibit 2226, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 23, 2007), 14 pgs.</u>	
		"The Center for High Frequency Electronics", <u>Guthrie Exhibit 2228, Board of Patent Appeals and Interferences (Interference No. 105,393), http://www.chfe.ee.ucla.edu/8/26/2007, (2007), 1 pg.</u>	
		"Trip Report - Chan, Don, Matt", <u>Espiau Exhibit 1220, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 1 pg.</u>	
		"Turner Engineering Purchase Order T62801 / Trade References / T. Weaver's Quote", <u>Espiau Exhibit 1175, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 3 pgs.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 9 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book; magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"United States Securities and Exchange Commission Form D", <u>Guthrie Exhibit 2202, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 16, 2007), 6 pgs.</u>	
		BROUGHER, S. , "E-Mail to D. Turner re: Lamp Project Schedule", <u>Espiau Exhibit 1146, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 17, 2000), 1 pg.</u>	
		CATLETT, W. , "E-Mail to D. Turner re: DRI Luxim Waveguide Project", <u>Espiau Exhibit 1186, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 22, 2000), 2 pgs.</u>	
		CHANG, Y. , "E-Mail to D. Turner enclosing June Invoice", <u>Espiau Exhibit 1177, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 5, 2000), 2 pgs.</u>	
		CHANG, Y. , "E-Mail to D. Turner re: Time and Expenses for July", <u>Espiau Exhibit 1204, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 1, 2000), 14 pgs.</u>	
		CHANG, Y. , "E-Mail to Espiau re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1192, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 24, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to Espiau re: Lamp Assy. Figures", <u>Espiau Exhibit 1201, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2000), 3 pgs.</u>	
		CHANG, Y. , "E-Mail to J. Chandrashekhar, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1200, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to M. Espiau re: Drawings", <u>Espiau Exhibit 1181, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 16, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to M. Espiau re: Integrated Lamp Waveguide Concept w/ Latest Revisions With Drawings", <u>Espiau Exhibit 1198, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 27, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to M. Espiau re: New Figures / Patent Drawings", <u>Espiau Exhibit 1194, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 25, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to M. Espiau re: Figures / Patent Drawings", <u>Espiau Exhibit 1193, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 25, 2000), 1 pg.</u>	
		CHANG, Y. , "E-Mail to M. Espiau, et al. re: Integrated Lamp Waveguide Concept w/ Latest Revisions With Drawings", <u>Espiau Exhibit 1196, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 26, 2000), 1 pg.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/771,788
	Filing Date	February 4, 2004
	First Named Inventor	Espiau, Frederick
	Group Art Unit	2821
	Examiner Name	David Hung Vu
Sheet 10 of 13	Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		CHANG, Y. , "Notes re: The Integrated Dielectric Waveguide Plasma Lamp Concept", Espiau Exhibit 1152, Board of Patent Appeals and Interferences(Interference No. 105,393), (2000),32 pgs.	
		ESPIAU, M. , "E-Mail to D. Turner re: Three Phase Service", Espiau Exhibit 1176, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 29, 2000),2 pgs.	
		ESPIAU, M. , "E-Mail to Y. Chang re: Integrated Lamp Waveguide Concept", Espiau Exhibit 1199, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 28, 2000),1 pg.	
		ESPIAU, M. , "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", Espiau Exhibit 1195, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 26, 2000),1 pg.	
		ESPIAU, M. , "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", Espiau Exhibit 1191, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 24, 2000),1 pg.	
		ESPIAU, M. , "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", Espiau Exhibit 1182, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 16, 2000),1 pg.	
		ESPIAU, M. , "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", Espiau Exhibit 1179, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 13, 2000),1 pg.	
		GUTHRIE, C. , "E-Mail to D. Turner re: Amplifier for Development", Espiau Exhibit 1144, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 6, 2000),1 pg.	
		GUTHRIE, C. , "E-Mail to D. Turner re: Dielectric Constants from Trans Tech", Espiau Exhibit 1154, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 6, 2000),1 pg.	
		GUTHRIE, C. , "E-Mail to D. Turner re: Visit / Potential Meeting", Espiau Exhibit 1156, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 6, 2000),1 pg.	
		ISHII, T. K., "Microwave Engineering (Second Edition)", Guthrie Exhibit 2204, Board of Patent Appeals and Interferences(Interference No. 105,393), (1989),3 pgs.	
		ISHII, T. K., "Microwave Engineering (Second Edition)", Espiau Exhibit 1232, Board of Patent Appeals and Interferences(Interference No. 105,393), (1989),3 pgs.	
		JOSHI, C. , "E-Mail to M. Espiau re: ILWC Patent Paper", Espiau Exhibit 1178, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 13, 2000),2 pgs.	

EXAMINER

DATE CONSIDERED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 11 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MULLEN, D. , "E-Mail to D. Turner re: DRI Meeting", <u>Espiau Exhibit 1139, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 20, 2000),1 pg.</u>	
		MULLEN, D. , "E-Mail to Joshi, et al. re: First Pass Thermal Model of Lamp Housing (attaching plasma lamp geometry)", <u>Espiau Exhibit 1151, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 1, 2000),2 pgs.</u>	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1250, Board of Patent Appeals and Interferences (Interference No. 105,393), From Chapter 2,(2005),10 pgs.</u>	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1251, Board of Patent Appeals and Interferences (Interference No. 105,393), Chapter 5 - Impedance Matching and Tuning,(2005),46 pgs.</u>	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1252, Board of Patent Appeals and Interferences (Interference No. 105,393), Chapter 6, Microwave Resonators,(2005),14 pgs.</u>	
		RIZZI, PETER A., "Microwave Engineering Passive Circuits", <u>Espiau Exhibit 1231, Board of Patent Appeals and Interferences(Interference No. 105,393), (1988),4 pgs.</u>	
		TURNER, D. , "E-Mail to D. Mullen confirming DRI Meeting", <u>Espiau Exhibit 1136, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 18, 2000),1 pg.</u>	
		TURNER, D. , "E-Mail to C. Joshi re: Property Info", <u>Espiau Exhibit 1143, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 25, 2000),2 pgs.</u>	
		TURNER, D. , "E-Mail to C. Joshi, et al. re: DRI - Resume, etc.", <u>Espiau Exhibit 1142, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 24, 2000),3 pgs.</u>	
		TURNER, D. , "E-Mail to C. Joshi, et al. re: Material Specification", <u>Espiau Exhibit 1167, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 9, 2000),3 pgs.</u>	
		TURNER, D. , "E-Mail to C. Joshi, et al. re: DRI / Luxim Agreements", <u>Espiau Exhibit 1170, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 20, 2000),14 pgs.</u>	
		TURNER, D. , "E-Mail to C. Joshi, et al. re: First Dielectric Donut Tests", <u>Espiau Exhibit 1165, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 8, 2000),1 pg.</u>	
		TURNER, D. , "E-Mail to D. Mullen re: Dielectric Constants from Trans Tech", <u>Espiau Exhibit 1157, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 6, 2000),1 pg.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 12 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TURNER, D. , "E-Mail to S. Brougher re: DRI Plasma Lamp Schedule Update", <u>Espiau Exhibit 1147, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 28, 2000),1 pg.</u>	
		TURNER, D. , "E-Mail to S. Brougher re: Lamp Schedule", <u>Espiau Exhibit 1184, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 19, 2000),5 pgs.</u>	
		TURNER, D. , "E-Mail to S. Brougher, et al. re: DRI Luxim Waveguide Schedule", <u>Espiau Exhibit 1206, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 2, 2000),5 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim Business Structure", <u>Espiau Exhibit 1214, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 29, 2000),2 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI Luxim License Business Structure", <u>Espiau Exhibit 1217, Board of Patent Appeals and Interferences(Interference No. 105,393), (September 13, 2000),2 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI Plasma Lamp Business Meeting", <u>Espiau Exhibit 1149, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 28, 2000),6 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI-Tenco Product Development - Next Steps", <u>Espiau Exhibit 1161, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 12, 2000),7 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: Plasma Lamp Project", <u>Espiau Exhibit 1163, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 22, 2000),1 pg.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim License Business Structure", <u>Espiau Exhibit 1215, Board of Patent Appeals and Interferences(Interference No. 105,393), (September 11, 2000),2 pgs.</u>	
		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim License Framework", <u>Espiau Exhibit 1216, Board of Patent Appeals and Interferences(Interference No. 105,393), (September 11, 2000),2 pgs.</u>	
		TURNER, D. , "Memorandum to G. Prior re: Tenco Proposal to Develop a Plasma Lamp Excitor and Waveguide", <u>Espiau Exhibit 1134, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 14, 2000),7 pgs.</u>	
		TURNER, D. , "Memorandum to G. Prior re: Tenco Engineering Service for Digital Reflection", <u>Espiau Exhibit 1130, Board of Patent Appeals and Interferences(Interference No. 105,393), (March 31, 2000),10 pgs.</u>	
		TURNER, D. , "Memorandum to J. Legge re: Production Engineering for DRI Components", <u>Espiau Exhibit 1133, Board of Patent Appeals and Interferences(Interference No. 105,393), (March 31, 2000),5 pgs.</u>	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 13 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TURNER, D. , "Memorandum to T. Alton re: Issuing Purchase Order to Kyocera", Espiau Exhibit 1172, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 22, 2000),5 pgs.	
		TURNER, D. , "Memorandum to W. Catlett re: Business Structures for Joint DRI - Luxim Development", Espiau Exhibit 1212, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 10, 2000),3 pgs.	
		TURNER, D. , "Memorandum to W. Catlett re: Non-Disclosure Agreement and Interim Product Development Agreement", Espiau Exhibit 1174, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 23, 2000),12 pgs.	
		TURNER, D. , "Memorandum to W. Catlett re: Termination of Development Activities relating to Projection Light Engine Waveguide Development", Espiau Exhibit 1218, Board of Patent Appeals and Interferences(Interference No. 105,393), (November 3, 2000),1 pg.	
		TURNER, D. , "Notes", Espiau Exhibit 1131, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 11, 2000),3 pgs.	
		TURNER, "Notes", Espiau Exhibit 1128, Board of Patent Appeals and Interferences(Interference No. 105,393), (March 6, 2000),1 pg.	
		TURNER, "Notes", Espiau Exhibit 1129, Board of Patent Appeals and Interferences(Interference No. 105,393), (March 8, 2000),1 pg.	
		TURNER, "Notes", Espiau Exhibit 1135, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 17, 2000),3 pgs.	
		TURNER, "Notes", Espiau Exhibit 1141, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 24, 2000),2 pgs.	
		TURNER, "Notes", Espiau Exhibit 1148, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 1, 2000),1 pg.	
		TURNER, "Notes from the June 21, 2000 Meeting", Espiau Exhibit 1171, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 21, 2000),6 pgs.	
		TURNER, "Notes re: DRI Plasma Light from the the May 9, 2000 Meeting", Espiau Exhibit 1159, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 9, 2000),3 pgs.	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached